# **Software Design Principles and Guidelines**

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**Design Principles** 

# **Design Principles and Guidelines Overview**



Design Principles

- Important design concepts
- Useful design principles
- Development Methodologies
  - Traditional approaches
  - Agile programming
- Design Guidelines
  - Motivation
  - Common Design Mistakes
  - Design Rules

#### Design Principles

# Motivation: Goals of the Design Phase (1/2)



- Decompose system into components
  - *i.e.*, identify the software architecture
- Determine relationships between components
  - *e.g.*, identify component dependencies
- Determine intercomponent
   communication mechanisms
  - *e.g.*, globals, function calls, shared memory, IPC/RPC

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# Motivation: Goals of the Design Phase (2/2)



- Specify component interfaces
- Interfaces should be well-defined
  - \* Facilitates component testing and team communication
- Describe component functionality
  - e.g., informally or formally
- Identify opportunities for systematic reuse
  - Both top-down and bottom-up

# Macro Steps in the Design Process

- In the design process the orientation moves from
  - Customer to developer
  - What to how
- Macro steps include:
- 1. Preliminary Design
  - External design describes the real-world model
  - Architectural design decomposes the requirement specification into software subsystems
- 2. Detailed Design
  - Specify each subsystem
  - Further decomposed subsystems, if necessary

#### **Design Principles**



- Web server design decisions
- Portability issues I/O demuxing and
- concurrency
- HTTP protocol processing
- File access
- Web server components
  - Event dispatcher
  - Protocol handler
  - Cached virtual filesystem



- Given a requirements spec, design is an iterative decision process with the following steps: general
- List the hard decisions and decisions likely to change Design a component specification to hide .\_.
  - each such decision Т сi
- Make decisions that apply to whole program family first
  - Modularize most likely changes first Then modularize remaining difficult L.
- decisions and decisions likely to change Design the uses hierarchy as you do this
- (include reuse decisions) Treat each higher-level component as a ю.
- and apply above process to each ning until all design decisions all design decisions Continue refining specification are: 4
  - hidden in a component ī.
- contain easily comprehensible components provide individual, independent, low-level Т
  - implementation assignments

#### **Design Principles**

# **Key Design Concepts and Principles**

Key design concepts and design principles include:

- 1. Decomposition
- Abstraction and information hiding
- 3. Component modularity
- 4. Extensibility
- 5. Virtual machine architectures
- 6. Hierarchical relationships
- 7. Program families and subsets

Main goal of these concepts and principles is to:

- Manage software system complexity
- Improve software quality factors
- Facilitate systematic reuse
- Resolve common design challenges

# **Challenge 1: Determining the Web Server Architecture**

- Context: A large and complex production web server
- Problems:
  - Designing the web server as a large monolithic entity is tedious and error-prone
  - Web server developers must work concurrently to improve productivity
  - Portability and resuability are important quality factors

#### **Design Principles**

# **Solution: Decomposition**

- Decomposition handles complexity by splitting large problems into smaller problems
- This "divide and conquer" concept is common to all life-cycle processes and design techniques
- Basic methodology:
  - 1. Select a piece of the problem (initially, the whole problem)
  - 2. Determine the components in this piece using a design paradigm, *e.g.*, functional, structured, object-oriented, generic, etc.
  - 3. Describe the components interactions
  - 4. Repeat steps 1 through 3 until some termination criteria is met
    - e.g., customer is satisfied, run out of time/money, etc. ;-)

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# **Decomposition Example: Web Server Framework**



www.cs.wustl.edu/~schmidt/PDF/JAWS.pdf

#### Features

- High-performance
- Flexible concurrency, demuxing, and caching mechanisms
- Uses frameworks based on ACE

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# **Object-Oriented Decomposition Principles**

- 1. Don't design components to correspond to execution steps
  - · Since design decisions usually transcend execution time
- 2. Decompose so as to limit the effect of any one design decision on the rest of the system
  - Anything that permeates the system will be expensive to change
- 3. Components should be specified by all information needed to use the component
  - and nothing more!

# **Challenge 2: Implementing a Flexible Web Server**

- Context: The requirements that a production web server must meet will change over time, *e.g.*:
  - New platforms
  - New compilers
  - New functionality
    New performance goals
- Problems:

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- If the web server is "hard coded" using low-level system calls it will be hard to port
- If web server developers write software that's tightly coupled with internal implementation details the software will be hard to evolve

# Solution: Abstraction Abstraction by emphasic characteristics Allows post design decivarious level Represent algorithm Architect considera External platform

- Abstraction manages complexity
  - by emphasizing *essential characteristics* and suppressing *implementation details*
  - Allows postponement of certain design decisions that occur at various levels of analysis, *e.g.*,
    - Representational and algorithmic considerations
    - Architectural and structural considerations
    - External environment and platform considerations



Control abstraction

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# **Information Hiding**

- Information hiding is an important means of achieving abstraction
  - *i.e.*, design decisions that are subject to change should be hidden behind abstract interfaces
- Application software should communicate only through well-defined interfaces
- Each interface should be specified by as little information as possible
- If internal details change, clients should be minimally affected
  - May not even require recompilation and relinking...

# **Typical Information to be Hidden**

- Data representations Lower-level interfaces
  - *i.e.*, using abstract data types
- Algorithms
  - *e.g.*, sorting or searching techniques
- Input and Output
   Formats
  - Machine dependencies, *e.g.*, byte-ordering, character codes

- *e.g.*, ordering of low-level operations, *i.e.*, process sequence
- Separating policy and mechanism
  - Multiple policies can be implemented by same mechanisms
    - \* *e.g.*, OS scheduling and virtual memory paging
  - Same policy can be implemented by multiple mechanisms
    - *e.g.*, reliable communication service can be provided by multiple protocols

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# Information Hiding Example: Message Queueing



- A Message\_Queue is a list of ACE\_Message\_Blocks
  - Efficiently handles arbitrarily-large message payloads
- Design encapsulates and parameterizes various aspects
  - e.g., synchronization, memory allocators, and reference counting can be added transparently

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Note how the synchronization aspect can be strategized!

# Challenge 3: Determining the Units of Web Server Decomposition

- Context: A production web server that uses abstraction and information hiding
- Problems:
  - Need to determine the appropriate units of decomposition, which should
    - \* Possess well-specified abstract interfaces and
    - $\ast\,$  Have high  $cohesion\, and\, low\, coupling$

#### **Design Principles**

# **Solution: Component Modularity**



- A *modular system* is one that's structured into identifiable abstractions called *components* 
  - A software entity that represents an abstraction
  - A "work" assignment for developers
  - A unit of code that
    - \* has one or more names
  - has identifiable boundaries
  - \* can be (re-)used by other components
  - \* encapsulates data
  - \* hides unnecessary details
  - \* can be separately compiled

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# **Designing Component Interfaces**

- A component interface consists of several types of ports:
  - Exports
    - \* Services provided to other components, *e.g.*, facets and event sources
  - Imports
  - Services requested from other components, *e.g.*, receptacles and event sinks
     Access Control
    - \* Not all clients are equal, *e.g.*, protected/private/public



- Define components that provide multiple interfaces and implementations
- Anticipate change

#### **Design Principles**

## Component Modularity Example: Stream Processing



- A Stream allows flexible configuration of layered processing modules
- A Stream component contains a stack of Module components
- Each Module contains two Task components
  - *i.e.*, *read* and *write* Tasks
- Each Task contains a Message\_Queue component and a Thread\_Manager component

# **Benefits of Component Modularity**

Modularity facilitates software quality factors, e.g.,:

- Modularity is important for good designs since it:
- Extensibility -> well-defined, Enhances for separation of abstract interfaces
- Reusability -> low-coupling, Enables developers to high-cohesion
- Compatibility → design "bridging" interfaces
- Portability -> hide machine dependencies

- concerns
- reduce overall system complexity via decentralized software architectures
- Increases scalability by supporting independent and concurrent development by multiple personnel

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# **Criteria for Evaluating Modular Designs**

## Component decomposability

- Are larger components decomposed into smaller components?

#### Component composability

• Are larger components composed from existing smaller components?

#### Component understandability

• Are components separately understandable?

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#### Component continuity

• Do small changes to the specification affect a localized and limited number of components?

#### **Component protection**

 Are the effects of run-time abnormalities confined to a small number of related components?

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# **Principles for Ensuring Modular Designs**

#### Language support for components

- **Explicit Interfaces**
- · Components should correspond to syntactic units in the language

#### Few interfaces

 Every component should communicate with as few others as possible

#### Small interfaces (weak coupling)

 If any two components communicate at all, they should exchange as little information as possible

# Whenever two

components A and B communicate, this must be obvious from the text of A or B or both

#### Information Hiding

 All information about a component should be private unless it's specifically declared public

#### **Design Principles**

# **Challenge 4: "Future Proofing" the Web Server**

- Context: A production web server whose requirements will change over time
- Problems:
  - Certain design aspects seem constant until they are examined in the overall structure of an application
  - Developers must be able to easily refactor the web server to account for new sources of variation

# **Solution: Extensibility**

- Extensible software is important to support successions of quick updates and additions to address new requirements and take advantage of emerging opportunities/markets
- Extensible components must be *both* open and closed, *i.e.*, the "open/closed" principle:
  - Open component  $\rightarrow$  still available for extension
    - \* This is necessary since the requirements and specifications are rarely completely understood from the system's inception
  - Closed component  $\rightarrow$  available for use by other components
    - \* This is necessary since code sharing becomes unmanageable when reopening a component triggers many changes

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Solution: Virtual Machine Architectures

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virtual machine provides an extended

 $\triangleleft$ 

"software instruction set"

provide additional data types and

Extensions

primitives that work on a limited set of data

provides a set of

virtual machine layer

∢

types

associated "software instructions" Modeled after hardware instruction set

# **Extensibility Example: Active Object Tasks**



- Tasks can register with a Reactor
- They can be dynamically linked
- They can queue data
- They can run as "active objects"
- JAWS uses inheritance and dynamic binding to produce task components that are both open and closed

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# Challenge 5: Separating Concerns for Layered Systems

- Context: A production web server whose requirements will change over time
- Problems:
  - To enhance reuse and flexibility, it is often necessary to decompose a web server into smaller, more manageable units that are *layered* in order to
    - \* Enhance reuse, *e.g.*, multiple higher-layer services can share lower-layer services
    - \* Transparently and incrementally enhancement functionality
    - Improve performance by allowing the selective omission of unnecessary service functionality
    - \* Improve implementations, testing, and maintenance







# Challenge 6: Separating Concerns for Hierarchical Systems

- Context: A production web server whose requirements will change over time
- Problems:
  - Developers need to program components at different levels of abstraction independently
  - Changes to one set of components should be isolated as much as possible from other components
  - Need to be able to "visualize" the structure of the web server design

#### **Design Principles**

# **Solution: Hierarchical Relationships**

- Hierarchies reduce component interactions by restricting the topology of relationships
- A relation defines a hierarchy if it partitions units into levels (note connection to *virtual machine architectures*)
  - Level 0 is the set of all units that use no other units
  - Level *i* is the set of all units that use at least one unit at level < i and no unit at level  $\ge i$ .
- Hierarchies form the basis of architectures and designs
  - Facilitates independent development
  - Isolates ramifications of change
  - Allows rapid prototyping



# Hierarchy Example: JAWS Architecture



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#### Design Principles

#### The Uses Relation (2/3)

- Allow X to use Y when:
  - X is simpler because it uses Y
  - \* e.g., Standard C++ library classes
  - Y is not substantially more complex because it is not allowed to use X
  - There is a useful subset containing Y and not X
    - \* *i.e.*, allows sharing and reuse of Y
  - There is no conceivably useful subset containing X but not Y
    - \* *i.e.*, *Y* is necessary for *X* to function correctly
- Uses relationships can exist between classes, frameworks, subsystems, etc.



#### **Design Principles**

## **Defining Hierarchies**

- Relations that define hierarchies include:
  - Uses
  - Is-Composed-Of
  - Is-A
  - Has-A
- The first two are general to all design methods, the latter two are more particular to OO design and programming



# The Uses Relation (3/3)

- A hierarchy in the uses relation is essential for designing reusable software systems
- However, certain software systems require controlled violation of a uses hierarchy
- *e.g.*, asynchronous communication protocols, OO callbacks in frameworks, signal handling, etc.
- Upcalls are one way to control these non-hierarchical dependencies
- Rule of thumb:
- Start with an invocation hierarchy and eliminate those invocations (*i.e.*, "calls") that are not uses relationships

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# Design Principles

# The Is-Composed-Of Relation

- Many programming languages support the *is-composed-of* relation via some higher-level component or record structuring technique
- However, the following are not equivalent:
- level (virtual machine)
- component (an entity that hides one or more "secrets")
- a subprogram (a code unit)
- Components and levels need not be identical, as a component may appear in several levels of a uses hierarchy

#### Design Principles

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# The Is-A Relation

- This "ancestor/descendant" relationship is associated with object-oriented design and programming languages that possess inheritance and dynamic binding
- class X possesses *Is-A* relationship with class Y if instances of class X are specialization of class Y.
  - e.g., an HTTP\_1\_0\_Handler *ls-A* ACE\_Event\_Handler that is specialized for processing HTTP 1.0 requests



#### Design Principles

# The Is-Composed-Of Relation

- The *is-composed-of* relationship shows how the system is broken down in components
- X *is-composed-of* {*x<sub>i</sub>*} if X is a group of components *x<sub>i</sub>* that share some common purpose
- The following diagram illustrates some of the *is-composed-of* relationships in JAWS



<ul> <li>Design Principles</li> <li>The Has-A Relation</li> <li>This "client" relationship is associated with object-oriented design and programming languages that possess classes and objects</li> <li>class X possesses a Has-A relationship with class Y if instances of class X contain an instance(s) of class Y.</li> <li>e.g., the JAWS web server Has-A Reactor, HTTP_Acceptor, and CV_Filesytem</li> </ul>	JAWS Web Server CV_Filesystem
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# Challenge 7: Enabling Expansion and Contraction of Software

- Context: A production web server whose requirements will change
   over time
- Problems:
  - It may be necessary to reduce the overall functionality of the server to run in resource-constrained environments
  - To meet externally imposed schedules, it may be necessary to release the server without all the features enabled

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#### **Design Principles**

# **Solution: Program Families and Subsets**

- This principle should be applied to facilitate *extension* and *contraction* of large-scale software systems, particularly reusable middleware infrastructure
  - e.g., JAWS, ACE, etc.
- Program families are natural way to detect and implement subsets
  - Minimize footprints for embedded systems
  - Promotes system reusability
  - Anticipates potential changes
- Heuristics for identifying subsets:
  - Analyze requirements to identify minimally useful subsets
  - Also identify minimal increments to subsets



- TAO is a high-performance, real-time implementation of the CORBA specification
- JAWS is a high-performance, adaptive Web server that implements the HTTP specification
- JAWS and TAO were developed using the wrapper facades and frameworks provided by the ACE toolkit

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Design Principles Agile Processes	Design Principles eXtreme Programming: Planning

- Stresses customer satisfaction, and therefore, involvement
  - Provide what the customer wants, as quickly as possible
    Provide *only* what the customer wants
- Encourages changes in requirements
- Relies on testing
- For example, eXtreme Programming practices
  - Planning, designing, coding, testing

- treme Programming: Planning User Change in Requirements, Risk, or Developement Environment Story Requirements Commitment Planning Time System Schedule Game Prototype **Risk Estimates** Technology Spike based on http://www.extremeprogramming.org/rules/planninggame.html
  - Start with user stories - Written by customers, to
    - specify system requirements
    - Minimal detail, typically iust a few sentences on a card
    - Expected development time: 1 to 3 weeks each, roughly
  - Planning game creates commitment schedule for entire project
  - Each iteration should take 2-3 weeks

# eXtreme Programming: Designing

- Defer design decisions as long as possible
- Advantages:
  - Simplifies current task (just build what is needed)
  - You don't need to maintain what you haven't built
  - Time is on your side: you're likely to learn something useful by the time you need to decide
  - Tomorrow may never come: if a feature isn't needed now, it might never be needed
- Disadvantages:
  - Future design decisions may require rework of existing implementation
  - Ramp-up time will probably be longer later
    - \* Therefore, always try to keep designs as simple as possible

#### **Design Principles**

# eXtreme Programming: Coding

- Pair programming
  - Always code with a partner
  - Always test as you code
- Pair programming pays off by supporting good implementation, reducing mistakes, and exposing more than one programmer to the design/implementation
- If any deficiencies in existing implementation are noticed, either fix them or note that they need to be fixed

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#### Design Principles

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# eXtreme Programming: Testing

- Unit tests are written before code
- Code must pass both its unit test and all regression tests before committing
- In effect, the test suite defines the system requirements
  - Significant difference from other development approaches
  - If a bug is found, a test for it **must** be added
  - If a feature isn't tested, it can be removed

#### **Design Principles**

# **Agile Processes: Information Sources**

- Kent Beck, *Extreme Programming Explained: Embrace Change*, Addison-Wesley, ISBN 0201616416, 1999
- Kent Beck, "Extreme Programming", *C++ Report* 11:5, May 1999, pp. 26–29+
- John Vlissides, "XP", interview with Kent Beck in the Pattern Hatching Column, *C++ Report* 11:6, June 1999, pp. 44-52+
- Kent Beck, "Embracing Change with Extreme Programming", *IEEE Computer* 32:10, October 1999, pp. 70-77
- http://www.extremeprogramming.org/
- http://www.xprogramming.com/
- http://c2.com/cgi/wiki?ExtremeProgrammingRoadmap

# **Design Guidelines: Motivation**

- Design is the process of organizing structured solutions to tasks from a problem domain
- This process is carried out in many disciplines, in many ways
  - There are many similarities and commonalities among design processes
  - There are also many common design mistakes . . .
- The following pages provide a number of "design rules."
  - Remember, these rules are simply suggestions on how to better organize your design process, *not* a recipe for success!

#### **Design Principles**

# **Common Design Mistakes (1/2)**

- Depth-first design
  - only partially satisfy the requirements
  - experience is best cure for this problem . . .
- Directly refining requirements specification
  - leads to overly constrained, inefficient designs
- Failure to consider potential changes
  - always design for extension and contraction
- · Making the design too detailed
  - this overconstrains the implementation
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# Common Design Mistakes (2/2)

- Ambiguously stated design
  - misinterpreted at implementation
- Undocumented design decisions
  - designers become essential to implementation
- Inconsistent design
  - results in a non-integratable system, because separately developed modules don't fit together

#### **Design Principles**

# Rules of Design (1/8)

- Make sure that the problem is well-defined
  - All design criteria, requirements, and constraints, should be enumerated before a design is started
  - This may require a "spiral model" approach
- What comes before how
  - *i.e.*, define the service to be performed at every level of abstraction before deciding which structures should be used to realize the services
- Separate orthogonal concerns
  - Do not connect what is independent
  - Important at many levels and phases . . .

# Rules of Design (2/8)

- Design external functionality before internal functionality.
  - First consider the solution as a black-box and decide how it should interact with its environment
  - Then decide how the black-box can be internally organized. Likely it consists of smaller black-boxes that can be refined in a similar fashion
- Keep it simple.
  - Fancy designs are buggier than simple ones; they are harder to implement, harder to verify, and often less efficient
  - Problems that appear complex are often just simple problems huddled together
  - Our job as designers is to identify the simpler problems, separate them, and then solve them individually

#### **Design Principles**

# Rules of Design (3/8)

- Work at multiple levels of abstraction
  - Good designers must be able to move between various levels of abstraction quickly and easily
- Design for extensibility
  - A good design is "open-ended," i.e., easily extendible
  - A good design solves a class of problems rather than a single instance
  - Do not introduce what is immaterial
  - Do not restrict what is irrelevant
- Use rapid prototyping when applicable
  - Before implementing a design, build a high-level prototype and verify that the design criteria are met

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#### Design Principles

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# Rules of Design (4/8)

- Details should depend upon abstractions
  - Abstractions should not depend upon details
  - Principle of Dependency Inversion
- The granule of reuse is the same as the granule of release
  - Only components that are released through a tracking system can be effectively reused
- Classes within a released component should share common closure
  - That is, if one needs to be changed, they all are likely to need to be changed
  - i.e., what affects one, affects all

#### Design Principles

# Rules of Design (5/8)

- Classes within a released component should be reused together
  - That is, it is impossible to separate the components from each other in order to reuse less than the total
- The dependency structure for released components must be a DAG
  - There can be no cycles
- Dependencies between released components must run in the direction of stability
  - The dependee must be more stable than the depender
- The more stable a released component is, the more it must consist of abstract classes
  - A completely stable component should consist of nothing but abstract classes

# Rules of Design (6/8)

- Where possible, use proven patterns to solve design problems
- When crossing between two different paradigms, build an interface layer that separates the two
  - Don't pollute one side with the paradigm of the other

#### **Design Principles**

# Rules of Design (7/8)

- Software entities (classes, modules, etc) should be open for extension, but closed for modification
  - The Open/Closed principle Bertrand Meyer
- Derived classes must usable through the base class interface without the need for the user to know the difference
  - The Liskov Substitution Principle

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#### Design Principles

# Rules of Design (8/8)

- · Make it work correctly, then make it work fast
  - Implement the design, measure its performance, and if necessary, optimize it
- Maintain consistency between representations
  - *e.g.*, check that the final optimized implementation is equivalent to the high-level design that was verified
  - Also important for documentation . . .
- Don't skip the preceding rules!
  - Clearly, this is the most frequently violated rule!!! ;-)

#### **Design Principles**

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# **Concluding Remarks**

- Good designs can generally be distilled into a few key principles:
  - Separate interface from implementation
  - Determine what is *common* and what is *variable* with an interface and an implementation
  - Allow substitution of *variable* implementations via a *common* interface
    - \* *i.e.*, the "open/closed" principle
  - Dividing *commonality* from *variability* should be goal-oriented rather than exhaustive
- Design is not simply the act of drawing a picture using a CASE tool or using graphical UML notation!!!
  - Design is a fundamentally *creative* activity