Using Design Patterns and Frameworks to Develop Object-Oriented Communication Systems

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Motivation

- Developing *efficient*, *robust*, *extensible*, *portable*, and *reusable* communication software is hard
- It is essential to understand successful techniques that have proven effective to solve common development challenges
- Design patterns and frameworks help to capture, articulate, and instantiate these successful techniques

2

Observations

- Developers of communication software confront recurring challenges that are largely application-independent
 - e.g., service initialization and distribution, error handling, flow control, event demultiplexing, concurrency control
- Successful developers resolve these challenges by applying appropriate *design patterns*
- However, these patterns have traditionally been either:
 - 1. Locked inside heads of expert developers
 - 2. Buried in source code

Design Patterns

- Design patterns represent *solutions* to *problems* that arise when developing software within a particular *context*
 - i.e., "Patterns == problem/solution pairs in a context"
- Patterns capture the static and dynamic *structure* and *collaboration* among key *participants* in software designs
 - They are particularly useful for articulating how and why to resolve *non-functional forces*
- Patterns facilitate reuse of successful software architectures and designs

Proxy Pattern



• *Intent*: provide a surrogate for another object that controls access to it

More Observations

- Reuse of patterns alone is not sufficient
 - Patterns enable reuse of architecture and design knowledge, but not code (directly)
- To be productive, developers must also reuse detailed designs, algorithms, interfaces, implementations, etc.
- Application *frameworks* are an effective way to achieve broad reuse of software

Graphical Notation



Frameworks

- A framework is:
 - "An integrated collection of components that collaborate to produce a reusable architecture for a family of related applications"
- Frameworks differ from conventional class libraries:
 - 1. Frameworks are "semi-complete" applications
 - 2. Frameworks address particular application domains
 - 3. Frameworks provide "inversion of control"
- Typically, applications are developed by *in-heriting* from and *instantiating* framework components

5

Differences Between Class

Libraries and Frameworks



Tutorial Outline

- Outline key challenges for developing communication software
- Present the key reusable design patterns and framework components in high-performance Web clients and servers
 - Both single-threaded and various multi-threaded solutions are presented
 - The patterns and frameworks covered generalize to other communication software systems
 - * e.g., ORBs, video-on-demand, medical imaging
- Discuss lessons learned from using patterns and frameworks on production software systems
 - e.g., telecom, avionics, medical systems

10

Stand-alone vs. Distributed

Application Architectures



Concurrency vs. Parallelism



Sources of Complexity

- Distributed application development exhibits both *inherent* and *accidental* complexity
- Inherent complexity results from fundamental challenges, e.g.,
 - Distributed systems
 - * Latency
 - * Error handling
 - * Service partitioning and load balancing
 - Concurrent systems
 - * Race conditions
 - * Deadlock avoidance
 - * Fair scheduling
 - * Performance optimization and tuning

13

Sources of Complexity (cont'd)

- Accidental complexity results from limitations with tools and techniques, *e.g.*,
 - Low-level tools
 - e.g., Lack of type-secure, portable, re-entrant, and extensible system call interfaces and component libraries
 - Inadequate debugging support
 - Widespread use of *algorithmic* decomposition
 - * Fine for *explaining* network programming concepts and algorithms but inadequate for *developing* large-scale distributed applications
 - Continuous rediscovery and reinvention of core concepts and components

14

OO Contributions

- Communication software has traditionally been performed using low-level OS mechanisms, *e.g.*,
 - fork/exec
 - Shared memory
 - Signals
 - Sockets and select
 - POSIX pthreads, Solaris threads, Win32 threads
- OO design patterns and frameworks elevate focus to application concerns, e.g.,
 - Service functionality and policies
 - Service configuration
 - Concurrent event demultiplexing and event handler dispatching
 - Service concurrency and synchronization

Concurrent Web Client/Server Example

- The following example illustrates a concurrent OO architecture for a high-performance Web client/server
- Key system requirements are:
 - 1. Robust implementation of HTTP protocol
 - *i.e.*, resilient to incorrect or malicious Web clients/servers
 - 2. Extensible for use with other protocols
 - e.g., DICOM, HTTP 1.1, SFP
 - Leverage multi-processor hardware and OS software
 - e.g., Support various concurrency models

General Web Client/Server

Interactions



17

Web Server Software Architecture



- Event Dispatcher
 - Encapsulates Web server concurrency and dispatching strategies
- HTTP Handlers
 - Parses HTTP headers and processes requests
- HTTP Acceptor
 - Accepts connections and creates HTTP Handlers

18

Design Patterns in the Web Server Implementation



Tactical Patterns

- Proxy
 - "Provide a surrogate or placeholder for another object to control access to it"
- Strategy
 - "Define a family of algorithms, encapsulate each one, and make them interchangeable"
- Adapter
 - "Convert the interface of a class into another interface client expects"
- Singleton
 - "Ensure a class only has one instance and provide a global point of access to it"
- State
 - "Allow an object to alter its behavior when its internal state changes"

Event Handling Patterns

- Reactor
 - "Decouples synchronous event demultiplexing and event handler initiation dispatching from service(s) performed in response to events"
- Proactor
 - "Decouples asynchronous event demultiplexing and event handler completion dispatching from service(s) performed in response to events"
- Asynchronous Completion Token
 - "Efficiently associates state with the completion of asynchronous operations"

21

Concurrency Architecture

Patterns

- Thread-per-Request
 - "Allows each client request to run concurrently in a separate thread"
- Thread Pool
 - "Allows up to N requests to execute concurrently within a pool of threads "
- Thread-per-Connection
 - "Allows each client connection to run concurrently"
 - * Suited for HTTP 1.1, but not HTTP 1.0

Concurrency Patterns

- Active Object
 - "Decouples method execution from method invocation and simplifies synchronized access to shared resources by concurrent threads"
- Half-Sync/Half-Async
 - "Decouples synchronous I/O from asynchronous I/O in a system to simplify concurrent programming effort without degrading execution efficiency"
- Double-Checked Locking Optimization Pattern
 - "Ensures atomic initialization of objects and eliminates unnecessary locking overhead on each access"

22

Service Initialization Patterns

- Connector
 - "Decouples active connection establishment from the service performed once the connection is established"
- Acceptor
 - "Decouples passive connection establishment from the service performed once the connection is established"
- Service Configurator
 - "Decouples the behavior of network services from point in time at which services are configured into an application"

Selecting the Server's

Concurrency Architecture

- Problem
 - A very strategic design decision for high-performance Web servers is selecting an efficient *concurrency architecture*
- Forces
 - No single concurrency architecture is optimal
 - Key factors include OS/hardware platform and workload
- Solution
 - Understand key alternative concurrency patterns

25

Alternative Web Server Concurrency Patterns

- The following example illustrates the *design patterns* (and *framework components*) in an OO implementation of a concurrent Web Server
- The following are the key concurrency pattern alternatives:
 - 1. Reactive
 - 2. Thread-per-request
 - 3. Thread-per-connection
 - 4. Synchronous Thread Pool
 - 5. Asynchronous Thread Pool

26

Reactive Web Server



Thread-per-Request Web Server



Thread-per-Connection Web

Server



Handle-based Synchronous

Thread Pool Web Server



30

Queue-based Synchronous Thread Pool Web Server



Asynchronous Thread Pool Web Server



The ADAPTIVE Communication Environment (ACE)



• A set of C++ wrappers and frameworks based on common communication software design patterns

33

Demultiplexing and Dispatching Events

- Problem
 - Web servers must process several different types of events simultaneously
- Forces
 - Multi-threading is not always available
 - Multi-threading is not always efficient
 - Tightly coupling general event processing with server-specific logic is inflexible
- Solution
 - Use the *Reactor* pattern to decouple generic event processing from server-specific processing

Architecture of Our WWW Server



34

The Reactor Pattern

- Intent
 - "Decouples synchronous event demultiplexing and event handler initiation dispatching from service(s) performed in response to events"
- This pattern resolves the following forces for synchronous event-driven software:
 - How to demultiplex multiple types of events from multiple sources of events synchronously and efficiently within a single thread of control
 - How to extend application behavior without requiring changes to the event dispatching framework

Structure of the Reactor Pattern



• Participants in the Reactor pattern

37







Collaboration in the Reactor

Pattern



38



REGISTERED svc run svc run **OBJECTS** 4: getq(msg) 5:svc(msg) svc_run нттр APPLICATION Ha HTTP LEVEL Ha Message нттр нттр Oueue Handler Processor F Event 2: recv_request(msg) Handler3: putq(msg) FRAMEWORK 1: handle input() LEVEL Initiation Dispatcher Reactor OS EVENT DEMULTIPLEXING INTERFACE KERNEL LEVEL ĸſſŇŪŇ₽

The HTTP_Handler Public

Interface

- The HTTP_Handler is the Proxy for communicating with clients
 - Along with Reactor, this class implements the asynchronous task part of Half-Sync/Half-Async

```
// Reusable base class.
template <class PEER_ACCEPTOR>
class HTTP_Handler :
 public Svc_Handler<PEER_ACCEPTOR::PEER_STREAM,</pre>
                     NULL_SYNCH> {
public:
    // Entry point into HTTP_Handler, called by
    // HTTP_Acceptor.
  virtual int open (void *) {
    // Register with Reactor to handle client input.
    Reactor::instance ()->register_handler (this, READ_M
    // Register timeout in case client doesn't
    // send any HTTP requests.
    Reactor::instance ()->schedule_timer
      (this, 0, ACE_Time_Value (HTTP_CLIENT_TIMEOUT));
 }
```

41

The HTTP_Handler Protected

Interface

 The following methods are invoked by callbacks from the Reactor

```
// Receive/frame client HTTP requests (e.g., GET).
int recv_request (Message_Block &*);
};
```

42

Integrating Multi-threading

- Problem
 - Multi-threaded Web servers are needed since Reactive Web servers are often inefficient, nonscalable, and non-robust
- Forces
 - Multi-threading can be very hard to program
 - No single multi-threading model is always optimal
- Solution
 - Use the Active Object pattern to allow multiple concurrent server operations using an OO programming style

The Active Object Pattern

- Intent
 - "Decouples method execution from method invocation and simplifies synchronized access to shared resources by concurrent threads"
- This pattern resolves the following forces for concurrent communication software:
 - How to allow blocking read and write operations on one endpoint that do not detract from the quality of service of other endpoints
 - How to simplify concurrent access to shared state
 - How to simplify composition of independent services

Structure of the Active Object

Pattern



• The Scheduler determines the sequence that Method Objects are executed

Collaboration in the Active Object Pattern



46

45

Using the Active Object Pattern

in the Web Server



The HTTP_Processor Class

- Processes HTTP requests using the "Thread Pool" concurrency model
 - Implement the synchronous task portion of the Half-Sync/Half-Async pattern

```
class HTTP_Processor : public Task {
public:
    // Singleton access point.
  static HTTP_Processor *instance (void);
    // Pass a request to the thread pool.
  virtual int put (Message_Block *);
    // Event loop for the pool thread
  virtual int svc (int) {
    Message_Block *mb = 0; // Message buffer.
    // Wait for messages to arrive.
    for (;;) {
      getq (mb); // Inherited from class Task;
      // Identify and perform WWW Server
      // request processing here...
    }
protected:
 HTTP_Processor (void); // Constructor.
```

Using the Singleton Pattern

• The HTTP_Processor is implemented as a Singleton that is created "on demand"

```
HTTP_Processor *
HTTP_Processor::instance (void)
{
    // Beware of race conditions!
    if (instance_ == 0)
        instance_ = new HTTP_Processor;
    return instance_;
}
```

Constructor creates the thread pool

```
HTTP_Processor::HTTP_Processor (void)
{
    // Inherited from class Task.
    activate (THR_NEW_LWP, num_threads);
}
```

49

Subtle Concurrency Woes with the Singleton Pattern

- Problem
 - The canonical Singleton implementation has subtle "bugs" in multi-threaded applications
- Forces
 - Too much locking makes Singleton too slow...
 - Too little locking makes Singleton unsafe...
- Solution
 - Use the *Double-Checked Locking* optimization pattern to minimize locking **and** ensure atomic initialization

50

The Double-Checked Locking Optimization Pattern

- Intent
 - "Ensures atomic initialization of objects and eliminates unnecessary locking overhead on each access"
- This pattern resolves the following forces:
 - 1. Ensures atomic initialization or access to objects, regardless of thread scheduling order
 - 2. Keeps locking overhead to a minimum
 - e.g., only lock on creation
- Note, this pattern assumes atomic memory access...

Using the Double-Checked Locking Optimization Pattern for the Web Server



Integrating Reactive and

Multi-threaded Layers

- Problem
 - Justifying the hybrid design of our Web server can be tricky
- Forces
 - Engineers are never satisfied with the status quo ;-)
 - Substantial amount of time is spent re-discovering the *intent* of complex concurrent software design
- Solution
 - Use the Half-Sync/Half-Async pattern to explain and justify our Web server concurrency architecture

53

Half-Sync/Half-Async Pattern

- Intent
 - "Decouples synchronous I/O from asynchronous I/O in a system to simplify programming effort without degrading execution efficiency"
- This pattern resolves the following forces for concurrent communication systems:
 - How to simplify programming for higher-level communication tasks
 - * These are performed synchronously
 - How to ensure efficient lower-level I/O communication tasks
 - * These are performed asynchronously

54

Structure of the Half-Sync/Half-Async Pattern



Collaborations in the

Half-Sync/Half-Async Pattern



• This illustrates *input* processing (*output* processing is similar)

Using the Half-Sync/Half-Async



57

Joining Async and Sync Tasks in the Web Server

• The following methods form the boundary between the Async and Sync layers

```
int
HTTP_Handler::handle_input (void)
Ł
  Message_Block *mb = 0;
  // Try to receive and frame message.
  if (recv_request (mb) == HTTP_REQUEST_COMPLETE) {
    Reactor::instance ()->remove_handler
      (this, READ_MASK);
    Reactor::instance ()->cancel_timer (this);
    // Insert message into the Queue.
    HTTP_Processor<PA>::instance ()->put (mb);
 }
}
// Task entry point.
HTTP_Processor::put (Message_Block *msg) {
  // Insert the message on the Message_Queue
  // (inherited from class Task).
 putq (msg);
}
                                           58
```

Optimizing Our Web Server for Asynchronous Operating Systems

- Problem
 - Synchronous multi-threaded solutions are not always the most efficient
- Forces
 - Purely asynchronous I/O is quite powerful on some OS platforms
 - * e.g., Windows **nt** 4.x
 - Good designs should be adaptable to new contexts
- Solution
 - Use the *Proactor* pattern to maximize performance on Asynchronous OS platforms

The Proactor Pattern

- Intent
 - "Decouples asynchronous event demultiplexing and event handler completion dispatching from service(s) performed in response to events"
- This pattern resolves the following forces for asynchronous event-driven software:
 - How to demultiplex multiple types of events from multiple sources of events asynchronously and efficiently within a minimal number of threads
 - How to extend application behavior without requiring changes to the event dispatching framework

Structure of the Proactor Pattern



• Participants in the Proactor pattern

Collaboration in the Proactor Pattern



61 62

Client Connects to a Proactive

Web Server



Client Sends Request to a Proactive Web Server



Structuring Service Initialization

- Problem
 - The communication protocol used between clients and the Web server is often orthogonal to the initialization protocol
- Forces
 - Low-level connection establishment APIs are tedious, error-prone, and non-portable
 - Separating *initialization* from *use* can increase software reuse substantially
- Solution
 - Use the Acceptor pattern to decouple passive service initialization from run-time protocol

65

The Acceptor Pattern

- Intent
 - "Decouples passive initialization of a service from the tasks performed once the service is initialized"
- This pattern resolves the following forces for network servers using interfaces like sockets or TLI:
 - 1. How to reuse passive connection establishment code for each new service
 - 2. How to make the connection establishment code portable across platforms that may contain sockets but not TLI, or vice versa
 - 3. How to ensure that a passive-mode descriptor is not accidentally used to read or write data
 - 4. How to enable flexible policies for creation, connection establishment, and concurrency

66

Structure of the Acceptor Pattern



 Acceptor is a factory that creates, connects, and activates a Svc_Handler

Collaboration in the Acceptor Pattern



нттр нттр нттр нттр Handler Handler Handler Acceptor Svc Svc Svc Handler Handler Handler Acceptor 1: handle_input() 2: sh = make svc handler() ACTIVE нттр CONNECTIONS 3: accept_svc_handler(sh) Handler 4: activate_svc_handler(sh) Svc PASSIVE LISTENER Handler Reactor

Using the Acceptor Pattern in the

Web Server

The Acceptor Class

• The Acceptor class implements the Acceptor pattern

```
// Reusable Factor
template <class SVC_HANDLER>
class Acceptor :
 public Service_Object // Subclass of Event_Handler.
ſ
public:
    // Notified by Reactor when clients connect.
  virtual int handle_input (void)
  ſ
    // The strategy for initializing a SVC_HANDLER.
    SVC_HANDLER *sh = new SVC_HANDLER;
    peer_acceptor_.accept (sh->peer ());
    sh->open ();
  }
  // ...
protected:
    // IPC connection factory.
  SOCK_Acceptor peer_acceptor_;
}
```

69

The HTTP_Acceptor Class Interface

• The HTTP_Acceptor class accepts connections and initializes HTTP_Handlers

```
class HTTP_Acceptor
  : public Acceptor<HTTP_Handler>
  // Inherits handle_input() strategy from Acceptor.
  {
  public:
    // Hook called automatically when HTTP_Acceptor
    // is dynamically linked.
    virtual int init (int argc, char *argv[]);
    // Hook called automatically when HTTP_Acceptor is
    // dynamically unlinked.
    virtual int fini (void);
    // ...
}
```

Putting the Pieces Together at Run-time

- Problem
 - Prematurely committing ourselves to a particular Web server configuration is inflexible and inefficient
- Forces
 - Certain server configuration decisions can't be made efficiently until run-time
 - Forcing users to pay for components they don't use is undesirable
- Solution
 - Use the Service Configurator pattern to assemble the desired Web server components dynamically

The Service Configurator Pattern

- Intent
 - "Decouples the behavior of communication services from the point in time at which these services are configured into an application or system"
- This pattern resolves the following forces for highly flexible communication software:
 - How to defer the selection of a particular type, or a particular implementation, of a service until very late in the design cycle
 - * *i.e.*, at installation-time or run-time
 - How to build complete applications by composing multiple independently developed services
 - How to optimize, reconfigure, and control the behavior of the service at run-time

73

Structure of the Service

Configurator Pattern



74

Using the Service Configurator Pattern in the Web Server



- Existing Web server is based on Half-Sync/Half-Async pattern
- Other versions could be single-threaded, could use other concurrency strategies, and other protocols

Collaboration in the Service Configurator Pattern



The HTTP_Acceptor Class

Implementation

```
// Initialize service when dynamically linked.
int HTTP_Acceptor::init (int argc, char *argv[])
ſ
 Options::instance ()->parse_args (argc, argv);
 // Set the endpoint into listener mode.
 Acceptor::open (local_addr);
 // Initialize the communication endpoint.
 Reactor::instance ()->register_handler (this, ACCEPT_MASK)
}
// Terminate service when dynamically unlinked.
int HTTP_Acceptor::fini (void)
{
 // Unblock threads in the pool so they will
 // shutdown correctly.
 HTTP_Processor::instance ()->close ();
  // Wait for all threads to exit.
 Thread_Manager::instance ()->wait ();
}
```

77

Configuring the Web Server with the Service Configurator

• The concurrent Web Server is configured and initialized via a configuration script

% cat ./svc.conf dynamic TP_WWW_Server Service_Object * www_server.dll:make_TP_WWW_Server() "-p \$PORT -t \$THREADS"

• Factory function that dynamically allocates a Half-Sync/Half-Async Thread Pool Web Server

extern "C" Service_Object *make_TP_WWW_Server (void);

Service_Object *make_TP_WWW_Server (void)
{

return new HTTP_Acceptor;

// ACE dynamically unlinks and deallocates this object. }

78

The OO Architecture of the JAWS Framework



www.cs.wustl.edu/~jxh/research/

Main Program for Web Server

- Dynamically configure and execute the Web Server
 - Note that this is totally generic!

```
int main (int argc, char *argv[])
{
   Service_Config daemon;
   // Initialize the daemon and dynamically
   // configure the service.
   daemon.open (argc, argv);
   // Loop forever, running services and handling
   // reconfigurations.
   daemon.run_event_loop ();
   /* NOTREACHED */
}
```

Web Server Optimization Techniques

- Use lightweight concurrency
- Minimize locking
- Apply file caching and memory mapping
- Use "gather-write" mechanisms
- Minimize logging
- Pre-compute HTTP responses
- Avoid excessive time calls
- Optimize the transport interface

Applying Patterns to CORBA



www.cs.wustl.edu/~schmidt/ORB-patterns.ps.gz
 82

81

Benefits of Design Patterns

- Design patterns enable large-scale reuse of software architectures
- Patterns explicitly capture expert knowledge and design tradeoffs
- Patterns help improve developer communication
- Patterns help ease the transition to objectoriented technology

Drawbacks to Design Patterns

- Patterns do not lead to direct code reuse
- Patterns are deceptively simple
- Teams may suffer from pattern overload
- Patterns are validated by experience and discussion rather than by automated test-ing
- Integrating patterns into a software development process is a human-intensive activity

Suggestions for Using Patterns Effectively

- Do not recast everything as a pattern
 - Instead, develop strategic domain patterns and reuse existing tactical patterns
- Institutionalize rewards for developing patterns
- Directly involve pattern authors with application developers and domain experts
- Clearly document when patterns apply and do not apply
- Manage expectations carefully

85

Lessons Learned using OO

Frameworks

- Benefits of frameworks
 - Enable direct reuse of code (*cf* patterns)
 - Facilitate larger amounts of reuse than standalone functions or individual classes
- Drawbacks of frameworks
 - High initial learning curve
 - * Many classes, many levels of abstraction
 - The flow of control for reactive dispatching is non-intuitive
 - Verification and validation of generic components is hard

86

Patterns and Framework Literature

- Books
 - Gamma et al., "Design Patterns: Elements of Reusable OO Software" AW, 1994
 - Pattern Languages of Program Design series by AW, 1995–1997
 - Siemens, Pattern-Oriented Software Architecture, Wiley, 1996
- Special Issues in Journals
 - October '96 "Communications of the ACM" (eds: Douglas C. Schmidt, Ralph Johnson, and Mohamed Fayad)
 - October '97 "Communications of the ACM" (eds: Douglas C. Schmidt and Mohamed Fayad)
- Magazines
 - C++ Report and JOOP, columns by Coplien, Vlissides, Vinoski, Schmidt, and Martin

Conferences and Workshops on Patterns

- Pattern Language of Programs Conferences
 - September, 1998, Monticello, Illinois, USA
 - st-www.cs.uiuc.edu/users/patterns/patterns.html
- The European Pattern Languages of Programming conference
 - July, 1998, Kloster Irsee, Germany
 - www.cs.wustl.edu/~schmidt/patterns.html
- USENIX COOTS
 - April 27-30, 1998, Santa Fe, New Mexico
 - www.usenix.org/events/coots98/

Obtaining ACE and JAWS

- The ADAPTIVE Communication Environment (ACE) is an OO toolkit designed according to key network programming patterns
 - JAWS is both a Web server framework and a high-performance Web server
- All source code for ACE and JAWS is freely available
 - www.cs.wustl.edu/~schmidt/ACE.html
- Mailing lists
 - * ace-users@cs.wustl.edu
 - * ace-users-request@cs.wustl.edu
 - * ace-announce@cs.wustl.edu
 - * ace-announce-request@cs.wustl.edu
- Newsgroup
 - comp.soft-sys.ace